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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/020,001
		Filing Date	December 7, 2001
		First Named Inventor	Azuma MIYAZAWA
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	OOCL-76 (US-P1549)

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Documents(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Postcard Receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration; Certificate of Translation; Certified Priority Document Transmittal; Separate sheets with marked-up version of the claims.
<small>Remarks</small>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John C. Pokotylo (Reg. No. 36,242)
Signature	
Date	April 25, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 25, 2002

Typed or printed name	John C. Pokotylo
Signature	
Date	April 25, 2002

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**FEES TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

		Complete if Known
TOTAL AMOUNT OF PAYMENT	(\$)	1,654.00
		Attorney Docket No. OOCL-76 (US-P1549)

METHOD OF PAYMENT		FEES CALCULATION (continued)																																																																																																																																		
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to:</p> <p>Deposit Account Number 50-1049</p> <p>Deposit Account Name Straub & Pokotylo</p> <p><input checked="" type="checkbox"/> Charge any deficiency or credit any overpayment</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td>130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td>130.00</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td>110.00</td> </tr> <tr> <td>116</td> <td>400</td> <td>216 200 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217 460 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218 720 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228 980 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219 160 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220 160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221 140 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241 640 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242 640 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>460</td> <td>243 230 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>620</td> <td>244 310 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126 180 Submission of Information Disclosure</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146</td> <td>740</td> <td>246 370 Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>740</td> <td>249 370 For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>740</td> <td>279 370 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169 900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td align="right" colspan="2">SUBTOTAL (1) (\$)</td> <td align="right">740.00</td> <td align="right">SUBTOTAL (3) (\$)</td> <td align="right">410.00</td> </tr> <tr> <td colspan="4"> <small>*or number previously paid, if greater; For Reissues, see above</small> </td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	130.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification	130.00	147	2,520	147 2,520 For filing a request for ex parte reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month	110.00	116	400	216 200 Extension for reply within second month		117	920	217 460 Extension for reply within third month		118	1,440	218 720 Extension for reply within fourth month		128	1,960	228 980 Extension for reply within fifth month		119	320	219 160 Notice of Appeal		120	320	220 160 Filing a brief in support of an appeal		121	280	221 140 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,280	241 640 Petition to revive - unintentional		142	1,280	242 640 Utility issue fee (or reissue)		143	460	243 230 Design issue fee		144	620	244 310 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Processing fee under 37 CFR 1.17(q)		126	180	126 180 Submission of Information Disclosure		581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00	146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279 370 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (1) (\$)		740.00	SUBTOTAL (3) (\$)	410.00	<small>*or number previously paid, if greater; 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Name (Print/Type)	John C. Pokotylo	Registration No. (Attorney/Agent)	36,242																																																																																																																																	
Signature	<i>John C. Pokotylo</i>	Date	Telephone (732) 335-1222																																																																																																																																	

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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,001	12/07/2001	Azuma Miyazawa	OOCL-76 (US-P1549)

U N I T E D S T A T E S P A T E N T & T R A D E M A R K O F F I C E

26479
STRAUB & POKOTYLO
1 BETHANY ROAD, SUITE 83
BUILDING 6
HAZLET, NJ 07730

CONFIRMATION NO. 7220

FORMALITIES LETTER



OC000000007380598

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

740.00	00	00
130.00	00	00
504.00	00	00

05/07/2002 HMEK711 060000017 10020001

01	FC101
02	FC105
03	FC139
04	FC102

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Attorney Docket No.: OOCL-76 (US-P1549)

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Applicants: Azuma MIYAZAWA et al.

Serial No.: 10/020,001

Filing Date: December 7, 2001

Title: HEAT SUBLIMATIC PRINTER

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

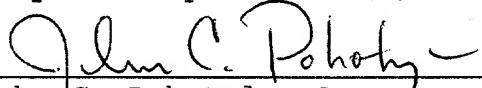
Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

REQUEST FOR A ONE-MONTH EXTENSION OF TIME

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on January 28, 2002 which set a time for response to expire on March 28, 2002, please extend that period one-month to expire on April 28, 2002. The fee of \$ 110.00 has been included with the check for \$1,654.00 filed herewith.

Respectfully submitted,



John C. Pokotylo, Attorney
Reg. No. 36,242
Customer No. 26479
(732) 335-1222

STRAUB & POKOTYLO
1 Bethany Road
Suite 83, Building 6
Hazlet, NJ 07730

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **April 25, 2002** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.


John C. Pokotylo

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Reg. No.

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